# **REQUEST FOR VENDOR INFORMATION AND TIN CERTIFICATION**

Refer to the instructions page for further information on completing this form.

Note: Typed forms and forms that include a populated Type of Vendor may result in more efficient and precise processing. \*\*For handwritten forms, please see the General Instructions for the list of options for the Type of Vendor, Part 5 - U.S. Tax Classification, and Part 8 - Account Type drop down menus.

**Type of	'Vendor	In	tern	vendors	only (effective e	nd date):	
Part 1	Payee Information				-		
Line 1.	Payee Name:						
Line 2.	Additional payee information: (if application)	able)					
Part 2	Business Name (if different from above)						
Part 3	Enter only <i>one</i> TIN in the appropria EIN: -		TIN <u>or</u>	must m SSN:	atch the name	given in Part 1, Line 1.	
Part 4	SAM UEI # (if applicable)						
Part 5	<b>**</b> Select the appropriate U.S. tax classification for person or entity listed in Part 1, Line 1.						
Part 6	Mailing Address (where payments, orders, and IRS 1099 forms, as applicable, will be sent)						
Street ad	dress:						
City:					State:	Zip code:	
Point of	Contact (if different from Part 1, Line 1 above	) Name:				-	
Phone #:	(no dashes)	Email ac	ldre	ss:			
Part 7	Additional Address Information (if a	ifferent from al	bove)				
Street ad	dress:						
City:					State:	Zip code:	
Part 8	Electronic Funds Transfer (EFT) In	formation					
Owner(s	) name as it appears on bank account:						
Bank Na	ume:						
**Select	an Account Type: Routin	g # (9 digits)	:				
Account	Number: (do not include check number)						

#### Part 9 Certification Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number; and
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest and dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined in the instructions).

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

# Signature:

Date:

Sensitive information must be securely maintained and only visible to designated staff.

# **General Instructions**

**Purpose of the AO 213**: The Judiciary utilizes the AO 213 to collect information necessary to facilitate payment. For many payments, the Judiciary is required to file an information return (e.g., 1099-MISC; 1099-NEC; 1099-INT) with the IRS and, therefore, must obtain payees' correct names and associated TINs to do so. If a TIN is not provided, a payee may be subject to backup withholding – situations where the Judiciary must withhold a certain percentage to ensure the IRS receives any tax due on the payment.

Payments disbursed by the Treasury on the Judiciary's behalf must collect payee TINs to comply with the Treasury's TIN Policy. Payee TINs, obtained through this form, may be used by the government to collect and report on any delinquent amounts arising out of the payee's relationship with the government.

**\*\*Vendor Type:** Select the option from the Vendor Type drop down menu that most accurately reflects current business operations or type of individual requiring a payment from the Judiciary.

The following are the available choices for this drop down menu:

- Billing/Accounts Receivable
- Business Entity
- Court Reporter
- Fed Pub Defender
- Intern
- Juror
- Other

Intern vendors, enter the effective end date only if intern vendor is selected in the Type of Vendor drop down.

#### Part 1, Line 1

Do not leave this line blank. Enter only one name for you or your entity. The name should match the name on your or your entity's U.S. tax return.

Name or Entity	Instructions
Individual	Enter the name shown on your U.S. tax return. If you have changed your last name without informing the Social Security Administration of the name change, enter your first name, the last name as shown on your social security card, and your new last name. For Individual Taxpayer Identification Number (ITIN) applicants, enter your name as it was entered on your IRS form W-7 application, line 1a.
Sole Proprietor or Single Member LLC	Enter the name shown on the IRS 1040/1040A/1040EZ. You may enter your business name or "doing business as" (DBA) name in Part 2, as applicable.
Partnership, LLCs, or Corporations (except Single-Member LLCs)	Enter entity name as shown on the entity's U.S. tax return in Part 1. You may enter your business name or "doing business as" (DBA" name in Part 2, as applicable.
Medical and Healthcare Providers	Enter the name shown on the IRS 1040/1040A/1040EZ. You may enter your business name or "doing business as" (DBA) name in Part 2, as applicable.
Other Entities (e.g., trusts, non-profit entities, government agencies)	Enter entity name as shown on the entity's U.S. tax return in Part 1. You may enter your business name or "doing business as" (DBA" name in Part 2, as applicable.

## Part 1, Line 2

If this form is being completed so that payment may be payable to more than one person or entity, enter in Part 1, Line 1 the name of the person or entity whose TIN you entered in Part 3. Additional names (e.g., "and" or "or") or additional information for payments (e.g., "care of") must be entered in Part 1, Line 2.

If payments are to be made to	Then enter the following		
Payee 1 AND Payee 2, co-owners of a joint account	Payee 1's name in Part 1, Line 1;		
	Payee 2's name in Part 1, Line 2;		
	Payee 1's name in Part 3.		
Payee 1, Payee 2, AND Payee 3	Payee 1's name in Part 1, Line 1;		
	Payee 2's name and Payee 3's name in Part 1, Line 2;		
	Payee 1's name in Part 3.		
Payee 1, Payee 2, OR Payee 3	Payee 1's name in Part 1, Line 1;		
	Payee 2's name OR Payee 3's name in Part 1, Line 2;		
	Payee 1's name in Part 3.		
Payee 1, CARE OF (c/o) Power of Attorney	Payee 1's name in Part 1, Line 1;		
	C/O Power of Attorney name in Part 1, Line 2;		
	Payee 1's name in Part 3.		

# Part 2

If you have a business or DBA name, you may enter it in Part 2.

#### Part 3

Enter your or your entity's TIN in the appropriate box. The TIN must be the TIN associated with the one person or one entity listed in Part 1, Line 1. If you are a resident alien and you do not have – and are not eligible to get – an SSN, your TIN is your ITIN. Enter it in the social security number box. If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. If the LLC is classified as a corporation or partnership, enter theentity's EIN.

# Part 4

If applicable, enter your 12 alpha-numeric SAM Unique Entity Identifier (SAM UEI).

# Part 5

\*\* U.S. Tax Classification: Select the appropriate box in Part 5 for the U.S. tax classification of the person or the entity's whose name is entered in Part 1. The following are the available choices for this drop down menu:

- Attorney or Law Firm (including LLCs and corporations)
- C Corporation (non-health/medical care provider nor attorney/law firm)
- S Corporation (non-health/medical care provider nor attorney/law firm)
- Government Entity (fed, state, local)
- Individual
- LLC C Corp (non-health/medical care provider nor attorney/law firm)
- LLC S Corp (non-health/medical care provider nor attorney/law firm)
- LLC Partnership (non-health/medical care provider nor attorney/law firm)
- Medical or Health Care Provider (including LLCs and Corporations)
- Non-Profit
- Partnership
- Single-member LLC
- Sole Proprietor
- Trust/Estate

#### Part 6

Enter your address (number, street, and apartment or suite number). This is where any information returns (e.g., 1099-MISC; 1099-NEC; 1099-INT), if applicable, will be mailed.

A point-of-contact (POC), email, and phone number may be entered, if desired. A POC must be entered should the POC differ from the entity or individual in Part 1, Line 1.

## Part 7

If you have an additional address other than the address provided in Part 6, such as a physical address different from the mailing address for information returns, you may enter it here.

## Part 8

The Routing Number must be nine digits. If you are unsure of your banking information, consult your financial institution.

**\*\*Account Type:** You must identify your account as either checking or savings to ensure our payment is accepted by your financial institution. The following are the available choices for this drop down menu:

- Checking
- Savings

#### Part 9

You must cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

For item 3, you are considered a U.S. person, for federal tax purposes, if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in, or under the laws of, the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in 26 CFR 301.7701-7).

# UNITED STATES DISTRICT COURT WESTERN DISTRICT OF TENNESSEE

# ADDENDUM TO VENDOR INFORMATION/TIN CERTIFICATION FORM (AO 213)

Vendor/Business Name:\_\_\_\_\_

# **EXPERT SPECIALTY DESIGNATION**

Indicate below what type of services this vendor provides (check all that apply).

# TYPE OF SERVICE PROVIDER

01	Accountant	17	Mitigation Specialist
02	Ballistics Expert	18	Other (Specify):
03	CALR (Westlaw, Lexis, etc.)	19	Other Medical Expert
04	Chemist, Toxicologist	20	Paralegal Services
05	Computer (Hardware/Software/Systems)	21	Pathologist, Medical Examiner
06	Computer Forensics Expert	22	Polygraph Examiner
07	Documents Examiner	23	Psychiatrist
08	Duplications Services	24	Psychologist
09	Fingerprint Analyst	25	Voice, Audio Analyst
10	Hair, Fiber Expert	26	Weapons Firearms Explosive
11	Interpreter Translator		Expert
13	Investigator		
14	Jury Consultant		TRANSCRIPTS
15	Legal Analyst/Consultant	27	Court Reporter
16	Litigation Support Services		

Please return this Addendum along with the completed AO 213 Form to: United States District Court, Clerk's Office - 167 N. Main Street, Suite 242, Memphis, Tennessee 38103

Attn: Vendor Maintenance Clerk